

Chirag Pankaj Mistry

2E-806, NG Suncity Phase II, Thakur Village, Kandivali E, Mumbai 400101

+91 9821889138 | chiragm125@gmail.com | <https://in.linkedin.com/in/chirag-mistry-98a05645>

CAREER GOALS AND OBJECTIVES

Looking forward for the responsibilities to work as a core professional in the challenging environment and to achieve organizational and personal goals.

PROFESSIONAL SYNOPSIS

- I am a Chartered Accountant from the Institute of Chartered Accountants of India ('ICAI') with Bachelor of Commerce ('B. Com') Degree from the University of Mumbai.
- Currently working as an Assistant Manager in audit department of KPMG under firm name - B S R & Co. LLP. My post qualification professional experience is over 4 years with KPMG in audit department conducting statutory and group audit of Indian and foreign Companies reporting under Indian GAAP, IFRS and US GAAP including review of internal financial controls placed by the management over financial reporting.
- Completed 3 years of ICAI articleship with KPMG in audit department.
- Worked at KPMG Singapore in audit department on short term secondment for 4 months in year 2016.
- Highly adoptive and strong inter-personal skills to handle various clients and peer pressures.

PROFESSIONAL EXPERIENCE

Company and Job profile	KPMG, Mumbai, Audit, Financial services	Position: Assistant Manager (Joined as Articled assistant) Year: March 2009 till date
<ul style="list-style-type: none">➤ Overall planning, execution and timely completion of audits directly reporting to engagement partner and manager.➤ Planning involves understanding the client's business thoroughly, planning the audit procedures to be performed, testing of controls, identifying key audit risks and mitigating the key audit risk by applying the relevant audit approach.➤ Networking with clients within financial service sector, KPMG inter departments including tax team, corporate finance team, information risk management team (for system audits) and KPMG counterparts situated in other countries. Major Engagements:		

Engagement	Industry	Nature Engagement	Nature of work done
KPMG Singapore (IFRS / SFRS engagements)	Financial Services	Statutory audit	<ul style="list-style-type: none">➤ Worked at KPMG Singapore on short term secondment for 4 months in year 2016. Mainly worked on statutory audit of Companies reporting under SFRS/IFRS.➤ Assisted client with preparation of consolidated financial statements and IFRS related disclosure requirements.
IndAS	General	Departmental training	<ul style="list-style-type: none">➤ Attended departmental IndAS training.
Reliance Capital	NBFC	Statutory audit	<ul style="list-style-type: none">➤ Review of consolidated financial statements prepared under Indian GAAP and its underlying workings.

Chirag Pankaj Mistry

2E-806, NG Suncity Phase II, Thakur Village, Kandivali E, Mumbai 400101

+91 9821889138 | chiragm125@gmail.com | <https://in.linkedin.com/in/chirag-mistry-98a05645>

Engagement	Industry	Nature Engagement	Nature of work done
Broking departmental focused group discussion	Broking	Training	<ul style="list-style-type: none">➤ Incharge of the departmental level focused group discussion and presented following analysis to the audit department:➤ Audit procedures over key financial statement captions➤ Key regulatory SEBI and Exchange compliances impacting audit➤ Key audit issues faced in past
Standard Chartered Bank PLC	Banking	Group audit and ISAE 3402	<ul style="list-style-type: none">➤ Review of following business processes:<ul style="list-style-type: none">➤ Customer profile creation➤ Account opening➤ Account services and account maintenance➤ Loan processing➤ Trade operations➤ Financial market operations➤ Anti-money laundry operations➤ Reconciliations, IFRS reporting and other support services➤ HR and Finance shared services➤ Review includes understanding of processes, identifying what could go wrong, mitigating controls, performing walkthrough, testing of controls, checking of compliance with process note / department of instructions.➤ Issuing management letter on automation on manual processes, areas of further improvement and benchmarking of process followed with peer banks.
ICICI Prudential Asset Management Company Limited	Asset Management	Quarterly audit, statutory audit and tax audit	<ul style="list-style-type: none">➤ Review of asset management operations. Key activities included verification of management fees and brokerage expenses, Valuation of real estate Investments, monitoring the compliance of SEBI

Chirag Pankaj Mistry

2E-806, NG Suncity Phase II, Thakur Village, Kandivali E, Mumbai 400101

+91 9821889138 | chiragm125@gmail.com | <https://in.linkedin.com/in/chirag-mistry-98a05645>

Engagement	Industry	Nature Engagement	Nature of work done
			<p>regulations and AMFI circulars.</p> <ul style="list-style-type: none"> ➤ Preparing the analytical review of the financial statements. ➤ Identifying the key controls for testing, evaluating and walkthrough purpose and documenting the control framework of the client. ➤ Reviewing the financial statements under Schedule III and identifying the various disclosures under relevant regulatory requirements. ➤ Tax audit under Income Tax Act.
Euronet Services India Private Limited and FIS Payment Solutions & Services India Private Limited	ATM deployment and mobile recharge	Statutory audit - IGAAP and group audit - US GAAP	<ul style="list-style-type: none"> ➤ Review of ATM deployment, mobile recharge, cashnet operations and core banking and related software services. Key activities included verification of ATM managed fees for fixed model and brown model, verification of lease agreements, checking for appropriate classification of lease between operating and finance lease in accordance with AS 19 ➤ Preparing the analytical review of the financial statements. ➤ Identifying the key controls for testing, evaluating and walkthrough purpose and documenting the control framework of the client. ➤ Reviewing the financial statements prepared under relevant regulatory requirements.
Multiples Private Equity Funds Kotak Private Equity and Realty Funds	Private equity (onshore and offshore funds)	Statutory audit – IGAAP and IFRS	<ul style="list-style-type: none"> ➤ Testing of Impairment of Investments by verifying the valuation reports obtained for various investments across India. ➤ Testing of key assumptions, reasonableness of method used and validating the same based on market research, internal working and liaison with corporate finance team for valuation clearance. ➤ Testing of significant revenue and expense areas based on appropriate audit methodology. ➤ Help team to formulate testing strategy to address the

Chirag Pankaj Mistry

2E-806, NG Suncity Phase II, Thakur Village, Kandivali E, Mumbai 400101

+91 9821889138 | chiragm125@gmail.com | <https://in.linkedin.com/in/chirag-mistry-98a05645>

Engagement	Industry	Nature Engagement	Nature of work done
			<p>various key audit risk keeping in mind the requirement of IFRS.</p> <ul style="list-style-type: none"> ➤ Review of financial statements prepared under IFRS
Development Credit Bank ('DCB)	Banking	Statutory audit	<ul style="list-style-type: none"> ➤ Process understanding, walkthrough, control testing and substantive testing of areas including Payroll, Fixed Assets, Operating expenses Cash and Balance with RBI and balance with Banks. ➤ Branch audit of Nariman point branch, Connaught place branch and Chandani Chowk branch. ➤ Contributed to Audit Committee Presentation by way of identifying process improvements points for areas such as payroll, fixed assets and reconciliations of RBI Bank account and LCY bank accounts of DCB assignment. ➤ Identify and escalate other important observations (including branch operations) to the audit team including senior manager and maintained issue tracker for DCB.
HSBC Securities & Capital Markets (India) Private Limited	Broking	Statutory audit	<ul style="list-style-type: none"> ➤ Review of Institutional broking operations and advisory contract. Key activities included verification of broking income and recognition of advisory income as per milestones defined in contracts.
HSBC InvestDirect Securities (India) Private Limited	Broking	Statutory audit	<ul style="list-style-type: none"> ➤ Review of retail broking operations. Key activities included verification of broking income and preparing analytical review of the Company as a whole. ➤ Auditing the consolidation process ➤ Review of standalone and consolidated financial statements.
Other clients – Contributed as a team member	Various	Statutory audit and tax audit	<ul style="list-style-type: none"> ➤ Conducted following branch audits performed as per Reserve Bank of India: <ul style="list-style-type: none"> ➤ Nariman point branch and Ahmadabad branch of ING Vyaya Bank Limited ➤ Nariman point branch, Connaught place branch and Chandani Chowk branch of Development Credit Bank ➤ four Branches of ICICI Bank at Vijaywada ➤ Ria Money Transfer Private Limited ➤ Citi Financial Services Limited

Chirag Pankaj Mistry

2E-806, NG Suncity Phase II, Thakur Village, Kandivali E, Mumbai 400101

+91 9821889138 | chiragm125@gmail.com | <https://in.linkedin.com/in/chirag-mistry-98a05645>

Engagement	Industry	Nature Engagement	Nature of work done
			<ul style="list-style-type: none">➤ Tax audit of Elara Securities (India) Private Limited, Elara Capital (India) Private Limited and Standard Chartered Investments and Loans (India) Limited➤ HSBC Bank – Retail banking operations➤ ICICI Bank – Payroll operations➤ Investsmart Financial Services Limited – NBFC operations➤ HSBC InvestDirect Limited➤ Kubera Private Equity Funds➤ India Infoline funds➤ Headland Capital Partners (India) Private Limited

EDUCATION			
Year	Program/Course	Institution / University	Result
May 2012	CA Final	The Institute of Chartered Accountants of India	55%
Mar 2007	B.Com	Mumbai University (FYJC to TY Bcom -Narsee Monjee collage of Commerce and Economics)	73%

MANAGEMENT ASPECTS
<ul style="list-style-type: none">➤ Working effectively in teams and interacting with members from across disciplines and geographies.➤ Training colleagues with a focus on developing technical knowledge and communication skills.➤ Intense client interaction with a focus on maintaining existing relationships and developing new ones.

PERSONAL DETAILS
<ul style="list-style-type: none">➤ Date of Birth: 3rd November 1987➤ Gender: Male➤ Marital Status: Married➤ Hobbies: Listening to music and playing cricket➤ Passport no.: M2855691